

Docket No.: SHIGA7.046APC

October 4, 2006

Page 1 of 1

Please Direct All Correspondence to Customer Number **20995**

**TRANSMITTAL LETTER**  
**INFORMATION DISCLOSURE STATEMENT**

Applicant : Ando et al.  
App. No : 10/572,709  
Filed : March 17, 2006  
For : POSITIVE RESIST COMPOSITION AND  
RESIST LAMINATE FOR LOW-  
ACCELERATION ELECTRON BEAM  
AND METHOD OF PATTERN  
FORMATION  
Examiner : Unassigned  
Art Unit : 1752

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 4, 2006

(Date)

Neil S. Bartfeld, Ph.D., Reg. No. 39,901

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

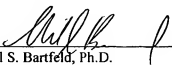
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
- (X) Listing 8 references.
  - (X) Enclosing 7 references.
- (X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

  
Neil S. Bartfeld, Ph.D.  
Registration No. 39,901  
Agent of Record  
Customer No. 20,995  
(619) 235-8550

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(Date)  
  
Neil S. Banfield, Ph.D., Reg. No. 39,901

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 8 references to be considered by the Examiner. Also enclosed are 7 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: October 4, 2006

By:

Neil S. Banfield, Ph.D.  
Registration No. 39,901  
Agent of Record  
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PTO/SB/08 Equivalent

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/572,709
Filing Date	March 17, 2006
First Named Inventor	Tomoyuki Ando
Art Unit	1752
Examiner	Unassigned
Attorney Docket No.	SHIGA7.046APC

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	2003/113661 A1	10-05-2001	Sumitomo Chemical Co., Ltd.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	2	EP 0 588 544 A2	03-23-1994	Urano et al.		
	3	JP 04-340553	11-26-1992	Tanaka Hatsuyuki et al.		Abstract Only
	4	JP 2002-341523	11-27-2002	Sasaki Tomoya et al.		Abstract Only
	5	JP 2002-373845	12-26-2002	Kagami Ichiro		Abstract Only
	6	JP 2003-177537	06-27-2003	Mizutani Kazuyoshi et al.		Abstract Only
	7	JP 2004-012513	01-15-2004	Endo Masataka et al.		Abstract Only

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	8	Takao Utsumi. "Low-Energy E-Beam Proximity Lithography (LEEPL): Is the Simplest the Best?" <i>Jpn. J. Appl. Phys.</i> 38(Part 1, No. 12B):7046-7051 (1999).	

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Examiner Signature	/Richard Schilling/	Date Considered	05/22/2008
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /RS/